# 2000-01 ANNUAL REPORT OF THE CHAIR CHARLES PHELPS TAFT MEMORIAL FUND

This year, the fund began to consolidate and implement the changes of the last two years. Several new programs were offered for the first time, and the last of the policy changes called for in the 1998-99 review were implemented. These policy and program changes, as well as the usual operations of the Taft Fund, are described in this report.

#### I. Administration and Governance

For the second year in a row, the Taft Office has relocated. A large space, 605B Old Chemistry, became available, and the Office relocated to it over the summer. The relocation proceeded smoothly, and the Office was reopened with minimal break in services. The new space provides both an office for the Taft Secretary and a conference room for the Taft committees.

The Faculty Executive Board met six times during the year. In addition to its oversight role for the Grants in Aid programs and Departmental Enhancement Awards, the Board considered substantial changes to the Postdoctoral Fellowships and Library Fund, and minor modifications to the Student Awards programs. The Board also considered funding priorities for faculty fellowships and the Competitive Lectures. The changes approved by the Board are described in the committee reports and in Section V.

The Taft website, <a href="http://asweb.artsci.uc.edu/taft">http://asweb.artsci.uc.edu/taft</a>, has now fully replaced the paper manuals and mailings as the reference source for all Taft programs. As a further step in this direction, we moved this year to replace another paper reporting process with an online system. The graduate fellowship program has always required departments to report annually on the status of all recipients of Taft graduate fellowships. The new Graduate Enhancement Awards added a new reporting requirement, in which departments must keep the Taft office informed of how they are allocating their GEA funds, and of the status of all recipients of those funds. To ease these reporting burdens, we engaged Wlodek Bryc, a faculty member in the Department of Mathematical Sciences, to design an online reporting system for all graduate student awards. Dr. Bryc designed an online reporting system and database for the Graduate Enhancement Awards and Advanced Graduate Fellowships. The system was used this summer for the first time. While it had the inevitable "first time" glitches, it works well and will streamline the reporting system. In compensation for his work constructing this system, Dr. Bryc received \$1,000 in travel money.

# I. Student Programs (35% of the Fund)

#### A. Student Awards Committee (35% of the Fund)

The Committee membership for the 2000-01 academic year included Russel Durst (English),

Sara Friedrichsmeyer (German), Richard Harknett (Political Science), Alan Sullivan (Anthropology) and Tara Smith (Mathematics), Chair. The responsibilities of the Committee include awarding the Taft Advanced Graduate Fellowship to fourteen advanced graduate students nominated from doctoral-degree-granting Taft departments, and to evaluate applications and make awards for the Taft Graduate Student Travel Grant, Taft Graduate Enrichment Award, and Taft Undergraduate Enrichment Award programs.

The Committee met on March 12, 2001 to consider the eighteen departmental nominees for Advanced Fellowships. Eight Advanced Departmental Competitive Fellowships, which carry with them a summer stipend of \$3000 in addition to the academic year stipend of \$12,000, were awarded, as well as six Advanced Competitive Fellowships, spread across the six departments of English, German, Philosophy, Political Science, Romance Languages and Sociology. In addition, two alternates for the Competitive Fellowships were chosen. Subsequently two of the Departmental Fellows received and accepted other Fellowships and one withdrew from their Ph.D. studies. These Fellowships then went to the next eligible candidate in their respective departments. As those students were moved from the Competitive Fellowships to the Departmental Fellowships, their Competitive Fellowships were in turn awarded to the alternates. In the end, we exhausted the alternate pool with one fellowship still unallocated. The awardees for 2001-02 are listed in Appendix B.

One trend that the Committee noticed was for certain departments to nominate students before they were at what is generally agreed to be the advanced level. The Committee expects to propose changes next year that would clarify what is meant by advanced standing for the purposes of these fellowships. Despite the relatively small number of nominees for this year's Fellowships, the overall caliber of the nominees was quite high, with almost all of the nominees having GPA's at the 3.8 level or above.

The Committee's budget for Taft Graduate Student Travel Grants for 2000-01 was \$20,000. These grants are capped at a maximum of \$500 per student per award and per year. The bulk of the deliberations for these awards as well as for the Enrichment Awards was conducted via email and telephone, with occasional meetings to clarify issues and resolve eligibility questions. Last year's Committee made two awards in fall prior to the establishment of this year's Committee, and this year's Committee made an additional two awards in the fall, for a total of \$1246.80. In order to make the awards more competitive, it was felt that a common application deadline should be implemented, in line with the deadlines for faculty travel awards. This change was proposed and approved effective for Winter Quarter 2001; details are given below. In winter quarter the Committee approved seven travel grants totaling \$2775.50. In spring quarter the Committee approved eight grants totaling \$3551.92. The total awarded by the Committee for the year was \$7574.22 for nineteen grants, representing students from seven of the ten Taft departments. All were awarded for students presenting a paper or serving as a discussant at an academic conference. Although less than half of this year's budget was awarded, the Committee feels that this program, which is relatively new, will gather increasing interest and become increasingly competitive in subsequent years, and does not recommend changing the budgeted amount at this time.

The Committee's budget for Taft Graduate Student Enrichment Awards was \$20,000. This was the first year that these awards were made. They are capped at \$2500 per student per 24-month period. The Committee made two awards in the winter quarter and four awards in the spring, totalling \$11,398.86. The awarded amounts varied from under \$700 to the full \$2500. The Committee felt each of the projects funded was an important component of the student's graduate research. It is expected that this program will increase greatly in popularity and competitiveness as it becomes more widely known. The Committee noted that some applications for graduate travel grants that could not be funded under the guidelines of that program would have been appropriate for the Enrichment Awards. This year's awardees included three students from History, two from Anthropology, and one from German. The Committee considers this program as well as the graduate travel grants and advanced fellowships to be of significant recruitment value for high-caliber graduate students.

The Undergraduate Enrichment Awards had a budget of \$16,000 for the year. These awards are capped at \$2000 each. The Committee made three awards in the fall for a total of \$2434.13, an additional seven awards totaling \$8,489.60 in the winter, and four more awards in spring for a total of \$6,300. The Committee thus allocated \$17,223.73 for these awards. The Committee slightly exceeded the budgeted amount this year, and we observed that the budget has been exceeded for most of the recent past years. Thus the Committee would recommend increasing the amount allocated for these awards in future budgets. The awards went to students in Romance Languages (six), German (three), History (three), English (one) and Political Science (one). Eleven of the fourteen awards were for language study or travel abroad programs, while the remaining three were for individual research projects or conference attendance. The Committee views all of these activities as highly valuable and enriching for our undergraduate students. Particularly for the foreign language students, the opportunity to study abroad or have intensive language instruction is very important.

#### **Approved Changes**

At its November 6, 2000 meeting, the Board approved the following changes for the Graduate Student Travel Awards, effective Winter Quarter 2001:

- 1. Specific application deadlines for the receipt of travel awards will be in effect. These deadlines are the same as the 1st, 3rd and 5th dates used for faculty domestic travel, approximately one month into each quarter. For the fall deadline, applications will be considered for travel occurring from the preceding deadline (April of previous academic year) through the following spring break. For the winter deadline, applications will be considered for travel occurring from the preceding deadline (mid-fall) through the end of the following summer. For the spring deadline, applications will be considered for travel occurring from the preceding deadline (mid-February) through the end of the following summer. Requests for travel which occurred prior to the preceding deadline will not be considered.
- 2. Applicants for graduate travel awards will be required to obtain two signatures from faculty members in their department. If a thesis committee has been constituted, the student will

need to obtain signatures from his/her committee chair and the Director o Graduate Studies, or Department Head if DGS is unavailable or is the committee chair. Otherwise the student will be required to obtain the signatures of the DGS and the Department Head.

**Rationale**: Having uniform deadlines makes the review work more manageable for committee members and allows a more competitive evaluation of the merits of the proposals, instead of having to evaluate each one as it comes in. The signature requirement will reduce the potential for abuse by students, or attempts to obtain travel money for travel that is not pertinent to their research and attainment of their graduate degree.

At its March 5, 2001 meeting, the Board approved the addition of the following language to the guidelines for the Undergraduate Enrichment Awards:

"Applicants for Undergraduate Enrichment Awards for projects outside the United States are required to apply for funds from the Institute for Global Studies and Affairs (IFGSA) if it applies to their project, and must report such funding to the Taft Student Awards Committee."

**Rationale**: Students should be encouraged to apply for funding from all available sources. This will increase their chances of getting funding and amount of funding they receive, and will help leverage Taft funds, leaving more Taft money available to distribute among worthwhile proposals.

At its March 5, 2001 meeting, the Board approved the following change for the Advanced Graduate Fellowships:

- a) Change date for deadline for completion of exams to "end of Spring Quarter" from "May 30."
- b) Add wording as follows:

"In case of equally well-qualified candidates, priority will be given to candidates who have not previously held a Taft Advanced Graduate Fellowship. Prior and current holders of Taft Advanced Graduate Fellowships must submit, along with their application, a statement of their accomplishments during their Taft Fellowship year(s)."

Rationale: A number of Taft departments give their spring exams during or after final exam week of spring quarter. These students are then ineligible for fellowships while students at a similar point in their studies, in departments who give their exams in May, are eligible. The change in date will remove that inequity. The requirement for a report from prior and current fellows stems from the Committee's belief that someone who has already held such a fellowship must account for how the time was spent before being considered for a subsequent fellowship.

#### **Future Plans**

The Committee will increase efforts to publicize all of its programs, and in particular the newer Graduate Travel Grants and Graduate Enrichment Awards, with attention being paid to making sure that Directors of Graduate Studies understand the guidelines and criteria on which decisions are based. The Committee also will continue to publicize the Undergraduate Enrichment

Awards, and will particularly encourage Departments and Undergraduate Program Directors to advertise the program to all undergraduates, including those who may have independent projects which could benefit from such funding, as well as those seeking a study-abroad experience. The Committee hopes to see applications from a broader spectrum of Taft departments for these Enrichment Awards.

The Committee expects to recommend to the Board some additional changes for Graduate Enrichment Awards, Advanced Graduate Fellowships, and Undergraduate Enrichment Awards for next fall. The Committee expects to clarify and expand on the list of example projects that are considered under the Enrichment guidelines. The Committee is considering requiring Fellowship applicants to include a curriculum vitae with their application, adding language to the guidelines to clarify that Taft Fellows must be full-time students with no other paid employment or other fellowship, and adding language to clarify what is meant by "advanced" graduate student, in particular that nominees should ideally have completed all exams by the time of application, and in all cases must have completed all exams by the end of spring quarter prior to the fellowship year. For Undergraduate Awards, the Committee would add language indicating that the enrichment experience must be relevant to the student's major, and would also require that at least one of the supporting letters come from a faculty member in the student's major.

## II. Faculty Awards (26% of the Fund)

## A. Grants in Aid (25% of the Fund)

The committee consisted of: Neal Ritchey (Sociology, chair); Victor Kaftal (Mathematics); Jeff Mills (Economics); Maura O'Connor (History); and Robert Richardson (Philosophy). A complete listing of the awards made by the committee can be found in Appendix D.

### **Competitive Faculty Fellowships**

Competitive Faculty Fellowships are grants enabling a faculty member to be released from teaching for one quarter in order to pursue a specific project. It is expected that applicants will have obtained at least one additional quarter of release time (generally in the form of academic or professional leave). This year applications were strong and there were twice as many applicants as awards. We awarded five Fellowships: John Drury (English), Sigrun Haude (History), Jeff Loveland (Romance Languages), David Maume (Sociology) and Geoffrey Plank (History). This is the 2<sup>nd</sup> year with a new method of funding for these awards. Faculty are relieved of teaching duties by their department and Taft pays the department \$8,000 to cover their replacement. The committee thought the new funding procedure was much more cost effective than its predecessor and, in sync with the Taft's mission, stimulated administration commitments to Taft Department faculty.

#### **Summer Research Fellowships**

We awarded twelve Summer Research Fellowships of \$5,450. Applications again well outnumbered the number of fellowships available and the committee was obliged to make some difficult decisions. A certain priority was given to junior members of faculty who had not previously received this award. However, there were many strong proposals from these faculty. As well, we made awards to several senior faculty.

#### **Research Grants for Travel**

Research Grants for Travel are grants made to cover expenses for faculty who are obliged to travel in order to conduct their research. They are limited to \$3,500 in any 24-month period. This year, we awarded six grants. Five of the six grants involved travel overseas for research purposes.

#### **International Conference Travel Awards**

Taft provides support for faculty members who are invited to make presentations at international academic meetings. These grants are particularly valuable to our faculty, enabling them to publicize around the world the high quality of research being done at the University of Cincinnati, while at the same time making useful contacts with their international colleagues. Fortunately the committee was able to fund the majority of requests, making 24 awards at an average of approximately \$1,400 per request.

#### **Domestic Travel Awards**

The Taft Fund continued its program to help pay travel expenses for faculty members invited to make presentations at academic meetings within the United States. Currently such funds are limited to the costs of travel only (generally airline tickets or driving expenses), with the assumption that departments will help out whenever possible with additional accommodation expenses. This program has been extremely successful and again many applications were received of which, the great majority were funded. In all, 36 awards were made at an average of approximately \$325 per award.

#### **Cost Sharing Awards**

The Taft Cost-Sharing program is intended to promote external funding for the Taft faculty and departments, by providing support for grant proposal preparation and matching funds for external grants. Cost-Sharing supports faculty proposal preparation by awarding \$5,000 to provide for release time from some or all of their departmental duties. This feature of the program is new this year. Also, Cost-Sharing may provide up to \$10,000 in matching funds. Matching funds may be awarded in instances where an external granting agency stipulates matching funds as a requirement of their award; or where a proposal was submitted to an external agency and the agency agrees to fund the project, but <u>cannot</u> fund the work at the full amount requested.

Cost-Sharing to support grant proposal preparation had an extremely positive beginning. The program came to the attention of Howard E. Jackson, Vice President for Research and University Dean for Advanced Studies. He decided that his office would offer a competitive program for different segments of the University's faculty. Those accepted into the program would attend seminars on proposal development and receive other professional help with their proposals. The Taft Departments would have faculty eligible to compete for membership in one of the three groups being formed by his office. Furthermore, the Vice President decided to accept into the program any faculty that the GIA committee chose for our Cost-Sharing Awards. The Vice President and Dean's program was announced only few days before our Cost-Sharing applications were due. Chris acted quickly and emailed all Taft Department faculty to inform them of the joint opportunity. We received seven applications and made awards to five faculty. In addition to the \$5,000 that we awarded to free up some of their time to develop their proposals, they will receive support and guidance from the Vice President and Dean's office.

We made one award for the maximum amount for matching funds. Jeff Mills and Sourushe Zandvakili (Economics) received a \$10,000 award to match \$10,000 awarded by the Canadian government to study labor data in Ontario.

#### **Issues**

We decided that the current Grant-In-Aid programs should <u>not</u> be changed at this time. In particular, the standing policy of giving priority in Faculty Research Fellowships to junior faculty was considered by the Board, and it was confirmed that the policy should continue.

#### **B.** Publications (1% of the Fund)

There were no requests for funding from the Publications Committee this year.

#### IV. Departmental and Interdisciplinary Programs (36% of the Fund)

## A. Postdoctoral Fellowships Committee (8% of the Fund)

The Charles Phelps Taft Postdoctoral Fellowships Program at the University of Cincinnati is intended to give recent Ph.D. recipients who demonstrate unusual scholarly ability the opportunity to enhance their education through additional study and research. The 2000-2001 Postdoctoral Fellowships Committee consisted of Steven Carlton-Ford (Sociology), Michael Margolis (Political Science), Geoffrey Plank (History, Committee Chair), Lucille Schultz (English) and Bingyu Zhang (Mathematics).

The program is advertised in professional journals and in the publications of learned societies in the ten fields encompassed by the Charles Phelps Taft Memorial Fund. In previous years, the program has usually attracted applications from nearly 300 candidates. In the last few years, these numbers have been declined to as low as 60. This year, there was a slight increase, with 75 valid applications received. As in recent years, even as the number of applications has decreased,

the quality of the applications remains high.

The 2000-2001 Postdoctoral Fellows were Michael Dahan (Political Science) and David Sobel (Philosophy). Dr. Dahan was a new Ph.D., coming from the Hebrew University of Jerusalem. Dr. Sobel received his Ph. D. in 1997 from the University of Michigan, and came to the Taft Fellowship by taking a leave of absence from his position at Bowling Green State University. Both returned to their home institutions at the end of their fellowships.

As part of the reconfiguration of the Taft Fund (discussed in Section V), only one Fellowship was awarded for the year 2001-02. The Committee received 15 files of finalists for 2000-2001 from nine Taft Departments. The Committee found many excellent candidates among these, and selected as its top candidate:

### Weijiu Liu (Mathematical Sciences)

Ph.D. May 1998, University of Wollongong, Wollongong, Australia Research Project: *Control Theory in Partial Differential Equations* 

Dr. Liu accepted the offer and is coming from Dalhousie University in Halifax, Nova Scotia to join the Department of Mathematical Sciences for the year.

#### B. Conferences and Lectures Committee (7% of the Fund)

The committee consisted of Jintai Ding (Mathematics), Jon Kamholtz (English, Chair), Chris Gauker (Philosophy), Christine Kelton (Economics), and Vernon Scarborough (Anthropology). The committee responded to requests for competitive lectures, oversaw the general expenditures for the allocated departmental lectures, and reviewed applications for conferences. A complete list of lectures and conferences is given in Appendix E.

#### Lectures

The committee approved 17 departmental and 4 competitive lectures. The competitive lecture program is new this year, replacing the old interdisciplinary lecture program. As is often the case with such a change, the new program was not as heavily utilized as its predecessor had been. To increase the usage of the fund, we will need to both advertise the fund's opportunities more widely, and clarify some of its policies. As the committee gains more experience in the coming year in processing applications for competitive lectures, they expect to return to the Faculty Executive Board with recommendations for improvements to its policies.

#### Conferences

During 2000-01, the Taft Fund supported three conferences:

• Midwest Dynamical Systems Anniversary Conference (September, 2000) was sponsored by the Department of Mathematical Sciences. The Taft award of \$6,000 was used to

- supplement a grant from the National Science Foundation of \$13,000.
- The Current State of the Short Story (February, 2001) was sponsored by the English Department. The proposal received \$10,000 from the Taft Fund. This conference also received strong support from the Schiff Foundation and the Ohio Arts Council, receiving \$15,000 from the former and \$5,000 from the latter. The conference featured a reading by John Updike, as well as presentations by many leading short story authors.
- *Cross-National History and European History* (April, 2001) was sponsored by the History Department, and co-sponsored by German Historical Institute, Washington DC. The proposal was awarded \$10,000 from the Taft Fund, and \$5000 from the German Historical Institute.

It is worth noting that all of these conferences involved partnerships between the host department and external organizations, who either co-sponsored the meeting or provided funding for it. The \$26,000 provided by the Taft Fund for these three meetings leveraged \$38,000 of external funding.

The committee received no conference proposals by the published deadline of March 1. It was decided to make another solicitation for proposals. This produced two proposals, both of which were approved by the committee:

- *Context-Relativity in Semantics* (November, 2001) was sponsored by the Philosophy Department. The proposal was awarded \$4,608.
- *Ohio Dynamical Systems Conference* (October, 2001) was sponsored by the Department of Mathematical Sciences. The proposal was awarded \$3,760.

### C. Library Committee (15% of the Fund)

The membership of the Taft Library Committee consisted of Kwan Man Bun (History), Edgar Slotkin (English), Sourushe Zandvakili (Economics), Dan Gottlieb (Acquisitions and Accounting, Langsam Library), and was chaired by Lynne Schepartz (Anthropology). The committee conducted its business through email and campus mail.

#### **Funds for Purchases Under \$1,000**

Following the usual procedures, the funds for purchases under \$1,000 (totaling \$75,000) were evenly divided between the ten Taft Departments.

#### **Funds for Purchases Over \$1,000**

The Committee received requests from faculty members and/or reference librarians in History (4), Romance Languages (1), and German (2). Some of these proposals consisted of multi-component requests that were given separate consideration. Four purchases were approved. One proposal was withdrawn when the applicant learned that the materials were available locally. The list of purchased materials is found in Appendix F. The committee's total expenditures were \$17,127.40.

#### **Changes to the Program**

The Library Fund was substantially reconfigured this year. The committee and program have been reconstituted as Research Support, so the Library committee and fund will not exist in the future *per se*. However, the functions of the fund will continue as part of the Research Support program. These changes are described in Section V.

#### D. Major Awards Committee (6% of the Fund)

The Major Awards Committee was created by the Faculty Executive Board during the 1998-99 academic year, and began its first year of operations in 1999-2000. In this, its second year, the committee consisted of Roger Daniels (History), William Feinberg (Sociology), Barry Isaac (Anthropology), Patricia O'Connor (Romance Languages), John Tytus (representing the Trustees) and was chaired by Chris McCord (Mathematics). As there were no changes in the Taft Professorships this year, the committee's duties for the year focused on the Departmental Enhancement Awards

The committee received two applications for the Departmental Enhancement Awards:

- Statistical Consulting Center, submitted by Mathematical Sciences.
- Investing in Work and Family Scholarship at U.C., submitted by Sociology.

The committee recommended a three-year, \$135,000 award be made to *Statistical Consulting Center*. This recommendation was approved by the Faculty Executive Board. The award will allow the Department of Mathematical Sciences to establish a center in which faculty and graduate students in statistics can offer consulting services to the University community and to external customers. The primary purpose of the center is to round out the training of statistics graduate students with consulting experience. The Center will provide additional benefits to the department and the University, by providing a resource for the statistical needs of faculty and students across the University, a source of research contacts for the statistical faculty, and a recruiting tool for the department. The Taft grant is intended to provide start-up costs, with the goal of the Center becoming self-supporting, or even revenue-generating, within three years.

The Europe Old and New project, which received the first DEA award last year, began its activities this year. The project is directed at revising the graduate curricula in Germanic Languages & Literature, History and Romance Languages & Literature, to create an interdisciplinary component in European Studies. The project's first year was dedicated to faculty development and revisions of the departments' graduate curricula. These activities included faculty reading and discussion groups, workshops on European Studies led by Professor Anthony Grafton of Princeton University and Professor Klaus Berghahn of the University of Wisconsin. These activities were aimed at preparing both the faculty and the departments for the next three years, in which the active involvement of graduate students in the European Studies program will begin.

## V. Changes in the Taft Fund

During the 1998-99 academic year, an internal review of all of the Taft Fund programs was initiated. This review was completed by the Planning Committee during the summer of 1999, with the results communicated to the Faculty Executive Board and the Board of Trustees in the Fall of 1999. The review let to a number of recommendations for revisions in the Taft Fund programs. Most of the proposed changes were considered and acted on during the 1999-2000 academic year. However, a few of the proposals were not acted on last year, and were carried over to this year. These included elimination of the Postdoctoral Fellowships and the Distinguished Faculty Achievement Award, and the reconfiguration of the Library Fund as a Research Support Fund.

As was discussed in the internal review, the Distinguished Faculty Fellowships were eliminated because their intended purpose, to encourage competitiveness and improve quality in the faculty awards, appears to have been achieved by other means. The Postdoctoral Fellowships were eliminated because the review clearly identified the Fellowship program as bringing the least benefit to the Taft departments for the expense required. The Postdoctoral Fellowships committee considered alternative fellowship models, such as multi-year fellowships or allocating fellowships to departments. But in the end, the committee concluded that the opportunities for short-term visitors included in the Research Support Fund (discussed below) were more valuable than a separate fellowship program. Thus, the elimination of the Postdoctoral Fellowships program occurred with the consent of the Postdoctoral Fellowship committee and the Faculty Executive Board.

The most substantial change made this year was the expansion of the Library Fund into a Research Support Fund. The 1998-99 review revealed one substantial gap in the Taft Fund's programs for supporting faculty research. The Competitive Faculty Fellowships and Research Fellowships provide release time; the various travel programs provide ample opportunity for faculty travel; the Library Fund facilitate purchases of library materials. But the Taft Fund does not provide any mechanism for meeting other research expenses. One motivation of the change was to fill this gap. The other motivation was concern over the Library Fund's structure. On the one hand, the usage patterns for the Special Requests suggested that the program was primarily benefiting a rather narrow segment of the faculty. On the other hand, the Departmental Allocations, by guaranteeing \$75,000 annually to the University libraries, was promoting the kind of institutional reliance on Taft funding that we have always sought to avoid.

To address these concerns, while preserving the strengths of the Library Fund, a new Research Support Fund was created, and the old Library Fund was discontinued. With the prior approval of the Trustees, the \$150,000 previously budgeted for the Library Fund was carried over to the new program. Like the Library Fund, the Research Support Fund has a departmental allocation and a Special Requests program. Neither category may be used for routine departmental operating expenses, nor to purchase computer hardware, nor may they be used for purposes already funded by other Taft programs (i.e. faculty travel or release time). Otherwise, they may

be used for any faculty research need. Among the examples of valid research expenses are: to bring research collaborators to the University of Cincinnati; to pay for technical or consulting services; to purchase databases or software; and to purchase library materials. The Departmental Allocation provides each department with an annual award (currently set at \$5000 per department) to use at its discretion towards faculty research expenses. The remaining \$100,000 is available for Special Requests (i.e. research support requests over \$1000). It is the ability of this program to support visitors for flexible lengths of time, ranging from a few days to a quarter or more, that obviated the need for a Postdoctoral Fellowship program. In both programs, care was taken to allow continued use of the funds for library purchases. The important change in this regard is that departments and faculty members now have the flexibility to either use the funds for library purchases or other research needs, as they see fit.

Some minor changes were the transfer of responsibility of the Cost-Sharing Program to the Research Support committee, and the renaming of the Grants in Aid committee as the Faculty Awards committee.

With these changes, all of the recommendations of the 1998-99 internal review have been implemented. As noted in the Student Awards report, these changes will be followed by a period of adjustment. First, the faculty and students must become aware of the changes in the fund, and react to them. Second, as they learn about the programs and submit requests, the committees will have to respond to unforeseen circumstances, filling in the details of the programs and proposing changes as necessary.

#### VI. Conclusion

There are two important features to be observed in the year's activities. First, the Taft Fund has completed a significant restructuring process. The goal of this restructuring has been to make the fund both more responsive to the needs of the Taft departments, and more of a tool for attracting new resources to the departments, rather than replacing lost resources. We anticipate that a period of adjustment will follow, as the faculty, students and administration adjust to the new opportunities provided by the Fund. With University resources continuing to erode, we will need to monitor this new configuration, to see if it proves effective in drawing resources towards the Taft departments.

That is an issue for the long term. In the short term, it may take some time for all of the new funding categories to be fully utilized. As Appendix A shows, several of the Taft programs ended the year with significant unallocated funds. These unallocated funds return to the Chair's Contingency Fund. The Contingency Fund has been slowly growing for the last several years, but with the unallocated funds from last year added to it, it now exceeds \$175,000. On the one hand, a return of this magnitude indicates that we need to be diligent in advertising the Fund's programs, so that the Fund is more fully utilized in coming years. On the other hand, a Contingency Fund of this size represents an opportunity to either add to the Fund's principal, or to fund activities that will significantly enhance the Taft departments and faculty. The Faculty

Executive Board and the Board of Trustees will need to carefully consider their options in deciding how to best make use of these funds.

Respectfully submitted,

Christopher McCord, Chair Taft Faculty Executive Board

## **TAFT MEMORIAL FUND BUDGET FOR 2000-2001**

(Budget for 1999-2000 for informational purposes)

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Award Category		1999-2000 Budgeted	2000-2001 Budgeted	2000-2001 Spent
Conferences & Lectures	7% for 2000-2001			
Departmental Allocation	7,0 101 2000 2001	30,000.00	30,000.00	28,500.00
Competitive Lectures		10,000.00	20,000.00	7,500.00
Video Taping		1,000.00	1,000.00	0.00
Conferences		20,000.00	20,000.00	8,028.00
Total		61,000.00	71,000.00	44,028.00
Grants-in-Aid	25% for 2000-2001			
Research Grants		64,200.00	65,400.00	70,850.00
Research Supplements		10,500.00	10,500.00	9,060.85
Competitive Faculty Fellowships		85,700.00	40,000.00	40,000.00
CFF Supplements		0.00	10,000.00	7,067.06
Conference Travel & Research Travel	avel	40,000.00	50,000.00	36,135.71
Cost Sharing		7,500.00	50,000.00	35,000.00
Fringe Benefits for Research Gran	ts (Student Rate 5.5%)	9,000.00	3,597.00	5,313.75
Domestic Travel		17,000.00	17,000.00	11,304.99
Total		233,900.00	246,497.00	214,732.36
Library	15% for 2000-2001			
Departmental Allocation		75,000.00	75,000.00	75,000.00
Special Requests		75,000.00	75,000.00	17,127.40
Total		150,000.00	150,000.00	92,127.40
Major Awards	6% for 2000-2001			
Departmental Enhancement Award	d	0.00	50,000.00	50,000.00
Taft Professors		5,000.00	6,250.00	6,250.00
Distinguished Taft Awards		7,500.00	0.00	0.00
Total		12,500.00	56,250.00	56,250.00
Postdoctoral Fellowships	8% for 2000-2001			
Postdoctoral Fellows Family health insurance:		60,000.00	60,000.00	60,000.00
single \$1,868/ indv. + 1 \$3,736		4,400.00	7,472.00	0.00
Moving Expenses		1,000.00	1,000.00	739.07
Research Expenses		2,000.00	2,000.00	2,000.00
Teaching Stipend		6,000.00	6,000.00	6,000.00
Fringe Benefits (Worker's Comp. &	& Retirement)	3,600.00	3,600.00	10,900.00
Total	,	77,000.00	80,072.00	79,639.07
		1999-2000	2000-2001	2000-2001
Award Category		Budgeted	Budgeted	Spent

Publications	1%	for 2000-2001	8,500.00	8,500.00	0.00
Student Awards	35%	for 2000-2001			
Advanced Departmental Fellowships			120,000.00	108,000.00	96,000.00
Summer Support			30,000.00	27,000.00	24,000.00
Advanced Competitive Fellowships			72,000.00	72,000.00	96,000.00
Beginning Competitive Fellowships			84,000.00	84,000.00	72,000.00
Graduate Student Travel			20,000.00	20,000.00	7,458.92
Graduate Enrichment			0.00	20,000.00	8,921.73
Undergraduate Enrichment			15,000.00	16,000.00	17,223.13
Undergraduate Fellowships			20,000.00	0.00	0.00
Total			361,000.00	347,000.00	321,603.78
Miscellaneous	3%	for 2000-2001			
Staff Compensation			10,850.00	9,152.00	12,900.44
Fringe Benefits for Staff (36%)			3,900.00	3,295.00	4,437.04
Chair's Contingency*			6,350.00	13,000.00	32,364.60
Total			21,100.00	25,447.00	49,702.08
Grand Totals			925,000.00	984,766.00	858,082.69

<sup>\*</sup> Includes: postage, publicity for speakers, fellowships, and postdoctoral fellowships, publications, entertainment for speakers, photocopying, and additional commitments for cost sharing, summer stipend for mathematics graduate student, funds for new department heads, honoraria for history speaker, and Campaign Finance Reform Debate for the department of political science.

#### **GRADUATE FELLOWSHIPS**

#### 2001-2002

English & Comparative Literature

Mary Leech Advanced Competitive

Rebecca Meacham Advanced Departmental Competitive

Germanic Languages & Literatures

Monica Deegan Advanced Competitive

David Prickett Advanced Departmental Competitive

History

Theodore Petro Advanced Departmental Competitive

**Mathematical Sciences** 

Jieyon Oh Advanced Departmental Competitive

Philosophy

Michael Cundall Advanced Competitive

Arthur Morton Advanced Departmental Competitive

Political Science

Xiaodong Liu Advanced Competitive

Dixia Yang Advanced Departmental Competitive

Romance Languages & Literatures

Sophie Champigny Advanced Departmental Competitive

Martine Kincaid Advanced Competitive

Sociology

Thomas Buchanan Advanced Departmental Competitive

Jennifer Gossett Advanced Competitive

## **GRADUATE TRAVEL AWARDS**

## 2000-2001

# Anthropology

Kirk French	New Orleans	\$500.00
	English & Comparative Literature	
Susan Carpenter Will Clemens Kristin Czarnecki Scott Hermanson Ann McClellan Rebecca Meacham Anna Preibe Cynthia Ris Karl Zuelke	England Kentucky Kentucky Florida Washington Tennessee California Florida Arizona	\$500.00 \$182.00 \$112.00 \$481.50 \$500.00 \$404.40 \$486.00 \$500.00
	Germanic Languages & Literatures	
Monika Deegan Susanne Lenne	Connecticut Connecticut	\$449.52 \$334.00
	History	
Kathleen Bayes William Bergmann Natasha Margulis	Kentucky Kentucky Yugoslavia Philosophy	\$357.00 \$140.00 \$500.00
Fred Harrington	Netherlands	\$500.00
Trea Harmigton	Romance Languages & Literatures	ψοσο.σσ
Manual Martinez	Florida	\$391.00
	Sociology	
Jennifer Gossett Magdalena Szaflarski	Washington Washington	\$358.80 \$378.00

#### **GRADUATE ENRICHMENT AWARDS**

#### 2000-2001

Anthropology

Patrick Uphus Arizona \$2,300.00 Jonathan Vanderplough Greece \$2,500.00

Germanic Languages & Literatures

Mike Shaughnessy Germany \$2,050.00

History

James McGregorEngland\$1,476.61Roger WatsonCalifornia\$ 672.25

## **UNDERGRADUATE ENRICHMENT AWARDS**

## 2000-2001

# Germanic Languages & Literatures

Benjamin Flagler Melissa Frazier Todd Heidt Emily Wyatt	Germany Germany Germany Vermont	\$2,000.00 \$1,800.00 \$2,000.00 \$2,000.00
	History	
Kawnetta Hayes Lisa Moody Jennifer Winkleman	New York Spain Chicago	\$ 365.00 \$ 500.00 \$ 434.13
	Political Science	
Kimberly Lewis	Annapolis	\$ 448.60
Roman	ce Languages & Literatures	
Ashley Carlton Sara Covert Lauren Hatfield Anna Moncrief Ndulue Ngozi Matthew Williams	Spain Mexico Mexico Spain Spain Mexico	\$2,000.00 \$1,000.00 \$1,000.00 \$ 775.00 \$ 901.00 \$2,000.00

# GRANTS-IN-AID 2000-2001

## **HUMANITIES**

ENGLISH & COMPARATIVE LITERATURE (36 FACULTY) - 11 GRANTS AWARDED FOR A TOTAL OF \$17,684.02

	A 1 : 36	· T 10		
Stanley Corkin	Academic Mee Requested	ting Travel Gra \$1,714.00	int Awarded	\$1,714.00
Jill Rubenstein	Requested	\$ 610.00	Awarded	610.00
		Ψ 0.0.00	7 111 41 4 4 4	0.0.00
	Domestic '	Travel Grant		
Stanley Corkin	Requested	\$ 275.00	Awarded	\$ 200.00
Amy Elder	Requested	\$ 225.00	Awarded	\$ 225.00
Erin McGraw	Requested	\$ 426.93	Awarded	\$ 426.93
Kathryn Rentz Alison Rieke	Requested Requested	\$ 201.00 \$ 363.59	Awarded Awarded	\$ 201.00 \$ 263.59
Lucille Schultz	Requested	\$ 343.50	Awarded	\$ 343.50
Martin Wechselblatt	Requested	\$ 250.00	Awarded	\$ 250.00
	•			
	Competitive Fa	aculty Fellowsh	ip	
John Drury			Awarded	\$8,000.00
December 1	Research	Fellowship	A	ΦE 450.00
Russel Durst			Awarded	\$5,450.00
GERMANIC LANGUAGES & L	ITERATURES	(10 FACULTY)	– 5 GRANTS A	AWARDED FOR A
GERMANIC EMINOCHGES & E		F \$4,724.50	5 Glenvis i	IWINDED I ON II
	101111110	1 ψτ,/2τ.50		
	Domestic '	Travel Grant		
Sara Friedrichsmeyer	Requested	\$ 299.00	Awarded	\$ 299.00
Todd Herzog	Requested	\$ 381.50	Awarded	\$ 356.50
Klaus Mladek	Requested	\$ 323.50	Awarded	\$ 283.00
Richard Schade	Requested	\$ 286.00	Awarded	\$ 286.00
	Travel Gran	t for Research		
Klaus Mladek	Requested	\$3,500.00	Awarded	\$3,500.00
	•	. ,		. ,
HISTORY (20 FACUTLY)	- 18 GRANTS	<i>AWARDED FC</i>	OR A TOTAL O	F \$46,613.98
	A andomia Maa	tin a Traval Cra		
Michael Bailey	Requested	ting Travel Gra \$1,206.60	Awarded	\$1,206.60
Elizabeth Frierson	Requested	\$2,500.00	Awarded	\$2,500.00
Sigrun Haude	Requested	\$1,116.87	Awarded	\$1,116.87
Man Bun Kwan	Requested	\$1,600.00	Awarded	\$1,600.00
Jim Murray	Requested	11,659.01	Awarded	\$1,659.01
	D :: 1	T. 1.0		
Linda Denda comando		Travel Grant	Aa.mal = =1	Ф 070 OC
Linda Przybyszewski	Requested	\$ 331.00 \$ 221.50	Awarded	\$ 276.00 \$ 221.50
Mona Siegel Hilda Smith	Requested Requested	\$ 221.50 \$ 295.00	Awarded Awarded	\$ 221.50 \$ 295.00
Willard Sunderland	Requested	\$ 440.00	Awarded	\$ 339.00
<del>-</del>	- 1			·

Hilda Smith	Travel Gran Requested	at for Research \$3,500.00	Awarded	\$3,500.00
	Competitive Fa	aculty Fellowsh	ip	
Sigrun Haude Geoffrey Plank	P		Awarded Awarded	\$8,000.00 \$8,000.00
	Competitive Res	search Supplem	ent	
Sigrun Haude	Requested	\$2,000.00	Awarded	\$2,000.00
Geoffrey Plank	Requested	\$2,000.00	Awarded	\$2,000.00
	Research	Fellowship		
Wendy Kline		-	Awarded	\$5,450.00
David Stradling			Awarded	\$5,450.00
	Research	Supplement		
Wendy Kline	Requested	\$1,500.00	Awarded	\$1,500.00
David Stradling	Requested	\$1,500.00	Awarded	\$1,500.00
PHILOSOPHY (10 FACU	LTY) – 5 GRANT	S AWARDED I	FOR A TOTAL	OF \$13,601.09
	Academic Mee	ting Travel Gra	nt	
Christopher Gauker	Requested	\$1,553.00	Awarded	\$1,860.00
Robert Richardson	Requested	\$ 876.09	Awarded	\$ 876.09
	Domestic	Travel Grant		
Chris Cuomo	Requested	\$ 475.00	Awarded	\$ 415.00
	Dagaamah	Fall assalsin		
Robert Richardson	Research	Fellowship	Awarded	\$5,450.00
Troport Honardon			, warasa	ψο, 100.00
	Cost Sha	ring Award		
Jenefer Robinson			Awarded	\$5,000.00
ROMANCE LANGUAGES & I	,	(17 FACULTY) F \$30,417.69	– 16 GRANTS	S AWARDED FOR A
	Academic Mee	ting Travel Gra	nt	
Enrique Giordano	Requested	\$1,116.50	Awarded	\$1,116.50
Carlos Gutierrez	Requested	\$1,489.00	Awarded	\$1,489.00
Jeff Loveland	Requested	\$ 689.00	Awarded	\$ 598.10
Armando Romero	Requested	\$1,840.00	Awarded	\$1,740.00
	Domestic	Travel Grant		
Txetxu Aguado	Requested	\$ 292.09	Awarded	\$ 262.09
Susan Bacon	Requested	\$ 450.00	Awarded	\$ 450.00
Therese Migraine-George Jeff Loveland	Requested Requested	\$ 400.00 \$ 329.50	Awarded Awarded	\$ 400.00 \$ 329.50
Mara Paz-Moreno	Requested	\$ 286.00	Awarded	\$ 286.00
Kirsten Nigro	Requested	\$ 346.50	Awarded	\$ 346.50
	Competitive Fo	aculty Fellowsh	in	
Jeff Loveland	Compensive 17	Learly 1 CHOWSH	Awarded	\$8,000.00

	Competitive Res	search Supplem	nent	
Jeff Loveland	Requested	\$1,500.00	Awarded	\$1,500.00
	Research	Fellowship		
Therese Migraine-George	resouren	T CHO WEILIP	Awarded	\$5,450.00
Maria Paz-Moreno			Awarded	\$5,450.00
	Research	Supplement		
Therese Migraine-George	Requested	\$1,500.00	Awarded	\$1,500.00
Maria Paz-Moreno	Requested	\$1,500.00	Awarded	\$1,500.00
	SOCIAL	SCIENCES		
ANTHROPOLOGY (9 FAC	ULTY) – 1 GRA	ANT AWARDEI	D FOR A TOTA	AL OF \$403.00
	Domestic	Travel Grant		
Melissa Brown	Requested	\$ 403.00	Awarded	\$ 403.00
ECONOMICS (17 FACULT	TY) – 4 GRANT	S AWARDED F	FOR A TOTAL	OF \$11,864.25
	Academic Mee	eting Travel Gra	nt	
Haynes Goddard	Requested	\$1,658.00	Awarded	\$1,158.00
	Domestic	Travel Grant		
Jeffrey Mills	Requested	\$ 206.25	Awarded	\$ 206.25
Sourushe Zandvakili	Requested	\$ 500.00	Awarded	\$ 500.00
	Cost Sha	ring Award		
Jeffrey Mills &		C		
Sourushe Zandvakili	Requested	\$10,000.00	Awarded	\$10,000.00
POLITICAL SCIENCE (11 FAC	ULTY) - 8 GRA	ANTS AWARDE	ED FOR A TO	TAL OF \$12,087.50
	Academic Mee	eting Travel Gra	int	
George Bishop	Requested	\$1,099.00	Awarded	\$1,099.00
Thomas Moore	Requested	\$1,779.00	Awarded	\$1,779.00
	Domestic	Travel Grant		
Laura Jenkins	Requested	\$ 347.50	Awarded	\$ 311.50
Brian Lawson Joel Wolfe	Requested	\$ 500.00 \$ 415.00	Awarded Awarded	\$ 341.00 \$ 415.00
Joel Wolle	Requested	φ 415.00	Awarueu	φ 415.00
		nt for Research		
Richard Harknett	Requested	\$1,492.00	Awarded	\$1,492.00
	Research 1	Fellowship		
Brian Lawson		-	Awarded	\$5,540.00
	Research	Supplement		
Brian Lawson	Requested	\$1,200.00	Awarded	\$1,200.00

## SOCIOLOGY (11 FACULTY) – 11 GRANTS AWARDED FOR A TOTAL OF \$29,230.74

Sara Beth Estes William Feinberg Annulla Linders Jennifer Malat		Travel Grant 50.00 Award \$ 285.00 \$ 245.24 \$ 500.00	ed \$ 35 Awarded Awarded Awarded	0.50 \$ 285.00 \$ 245.24 \$ 500.00
	Competitive F	aculty Fellowsh	ip	
David Maume	1	3	Awarded	\$8,000.00
	Competitive Res	earch Suppleme	nt	
David Maume	Requested	\$2,000.00	Awarded	\$2,000.00
Sara Beth Estes Annulla Linders	Research	n Fellowship Award	ed \$5,45 Awarded	0.00 \$5,540.00
	Research	Supplement		
Sara Beth Estes Annulla Linders		50.00 Award \$1,000.00	ed \$ 95 Awarded	0.00 \$1,000.00
Jenefer Malat	Cost Sha Requested	aring Award \$5,000.00	Awarded	\$5,000.00

## **SCIENCES**

# MATHEMATICAL SCIENCES (40 FACULTY) 21 GRANTS AWARDED FOR A TOTAL OF \$57,673.00

	Academic Mee	ting Travel Gra	nt	
Jintai Ding	Requested	\$1,500.00	Awarded	\$1,500.00
Christopher McCord	Requested	\$ 850.00	Awarded	\$ 850.00
Pat McSwiggen	Requested	\$1,400.00	Awarded	\$1,400.00
Dan Ralescu	Requested	\$2,460.00	Awarded	\$2,460.00
Srdjan Stojanovic	Requested	\$1,569.00	Awarded	\$ 430.00
Shuang Zhang	Requested	\$2,500.00	Awarded	\$2,500.00
3 3	- 1	, ,		, ,
	Domestic 7	Travel Grant		
Harold Bell	Requested	\$ 310.00	Awarded	\$ 310.00
Victor Kaftal	Requested	\$ 284.00	Awarded	\$ 284.00
Tara Smith	Requested	\$ 309.00	Awarded	\$ 309.00
Gary Weiss	Requested	\$ 475.00	Awarded	\$ 475.00
	Travel Gran	t for Research		
H. Scott Dumas	Requested	\$3,500.00	Awarded	\$3,500.00
James Osterburg	Requested	\$3,500.00	Awarded	\$3,500.00
Tara Smith	Requested	\$1,530.00	Awarded	\$1,530.00
Bingyu Zhang	Requested	\$3,395.00	Awarded	\$2,275.00
-	·			
	Research	Fellowship		
Jintai Ding			Awarded	\$5,450.00
Siva Sivaganesan			Awarded	\$5,450.00
Tara Smith			Awarded	\$5,450.00

	Cost Sha	aring Award		
Donald French	Requested	\$5,000.00	Awarded	\$5,000.00
Sung-Eun Kim	Requested	\$5,000.00	Awarded	\$5,000.00
Gary Weiss	Requested	\$5,000.00	Awarded	\$5,000.00
Shuang Zhang	Requested	\$5,000.00	Awarded	\$5,000.00

#### TAFT FACULTY IN OTHER ARTS & SCIENCES DEPARTMENTS

AFRICAN AMERICAN STUDIES (15 FACULTY) – 1 GRANT AWARDED FOR A TOTAL OF \$5,450

Research Fellowship

Keith Griffler Awarded \$5,450.00

WOMEN'S STUDIES (4 FACULTY) – 1 GRANT AWARDED FOR A TOTAL OF \$1,386.00

Research Fellowship

Catherine Raissiguier Requested \$1,386.00 Awarded \$1,386.00

## TAFT LECTURES

## 2000-2001

# Anthropology

B.L. Turner, Clark University (April 4, 2001)
Edwin Wilmsen, University of Texas – Austin (October 5, 2001)
Economics
John S. Heywood, University of Wisconsin (May 4, 2001)
Thomas E. Mann, The Brookings Institute (October 19, 2000)
English
E.L. Doctorow, New York University (January 23, 2001)
Germanic Languages
Richard T. Gray, University of Washington (February 23, 2001)
Patricia Herminghouse, University of Rochester (April 20, 2001)
History
Leila Fawaz, Tufts University (May 23 <sup>rd</sup> & 24 <sup>th</sup> , 2001)
Mathematics
Carlos Kening, University of Chicago (November 16, 2000)
Jacob Palis, Instituto de Matemtica Pura e Aplicada (September 22 <sup>nd</sup> – 24 <sup>th</sup> , 2000)
Philosophy
Paul Churchland, University of California (May 9 <sup>th</sup> & 10 <sup>th</sup> , 2001)

# Political Science

Michael Desch, University of Kentucky (May 23, 2001)
Michael Mastanduno, Dartmouth College (November 9, 2000)
Romance Languages
Jean Robert-Cadet, University of Cincinnati (May 10, 2001)
Martha Canfield, University of Venice (May 11, 2001)
Eileen Julien, Indiana University (May 12, 2001)\$1,200 "Modernity and Multiple Imaginaries in Literature and the Arts: The Example of Senegal"
Sociology
Judith Stacey, University of Southern California (October 19, 2000)
COMPETITIVE LECTURES 2000-2001
English
Wilson Moses, Pennsylvania State University (February 20, 2001)
History & Anthropology
LI Zhi'an, Nankai University (October 2001)
Philosophy
Paul Griffiths, University of Pittsburgh (Spring 02)
Romance Languages & History
Sarah Farmer, University of Iowa (May 22, 2001)

## **CONFERENCES**

## 2000-2001

## Mathematics

Ohio Dynamical Systems Theory Conference	. \$3,670
Organizers: Pat McSwiggen and Kenneth Meyer	
October 12 <sup>th</sup> – 13 <sup>th</sup> , 2001	

## **Philosophy**

Context-Relativity in Semantics	\$4,608
Organizer: Christopher Gauker	
November 8 <sup>th</sup> – November 11 <sup>th</sup> , 2001	

## TAFT LIBRARY SPECIAL REQUESTS

## 2000-2001

# Anthropology

Encyclopedia of Prehistory		
English		
BBC Shakespeare Plays\$2,750 Requested by Rosemary Franklin, University Libraries Format: DVD		
Horace Walpole's Correspondence\$1,650 Requested by Rosemary Franklin & Sally Moffitt, University Libraries Format: 42- volume set hard cover		
Germanic Languages		
Goethe's Work		
Handbuch der historischen Buchbestande		